



FINANCIAL CRIME COMPLIANCE (AML) DIPLOMA

A CERTIFIED LEARNING PROGRAMME EXCLUSIVE TO AFB MEMBERS

The **AFB Financial Crime Compliance (AML) Diploma** is a **comprehensive** learning programme designed to equip **senior risk professionals** with both the theoretical and practical knowledge required to enhance their knowledge and **develop new skills and awareness** around a topic which continues to be **critical to all banks**.

This AFB Diploma course will be delivered in partnership with Great Chatwell Academy of Learning who have delivered the previous two AFB Diploma courses and who have designed a specific course that is relevant to the business of AFB members.

Course participants can expect to benefit from:

- Ten separate learning modules that have been designed exclusively for AFB members
- Delivery via fully interactive on-line sessions
- Modules that include pre-reading, structured learning, practical-based exercises and case-study analyses
- The opportunity to interact with other risk and compliance professionals
- End of module assessments that will confirm understanding
- CPD credits for all sessions attended, awarded by the CPD Certification Service
- On successful completion of all assessments, participants will receive The AFB Financial Crime Diploma awarded in association with the University of Gloucestershire.

COURSE TESTIMONIALS

“the ten modules are directly relevant to my role as the MLRO of a UK regulated bank”

Head of Compliance and MLRO

“the engaging trainer appreciates the financial crime obligations placed on anyone in the regulated sector”

AML Governance Associate

MEET YOUR PROGRAMME DIRECTOR



Lee Byrne is a highly respected financial crime prevention professional, with more than 30 years international operational and management experience. Lee specializes in the design, development and delivery of systems and controls to manage and mitigate financial crime risks; including money laundering, tax evasion, sanctions, bribery, corruption and terrorist financing risks. Lee has held the position of Head of Compliance & Financial Crime at a UK authorised bank and was approved as the CF11 Money Laundering Reporting Officer (MLRO) Approved Person and Nominated Officer. Lee has worked in many of the major financial markets, giving him extensive knowledge of international financial crime compliance best practices.

FURTHER INFORMATION

For further information and to book your place please contact: secretariat@foreignbanks.org.uk

PRICING

AFB Members £3,500 plus VAT.
Course limited to 25 participants

CONTENT

The AFB Diploma contains the following modules:

The Role of the MLRO/Head of Financial Crime and Nominated Officer	15 Apr 2021
AML (Retail Banking, Private Banking and Correspondent Banking)	6 May 2021
Trade-based Money Laundering (Financial Crime Risks)	10 Jun 2021
Managing Bribery and Corruptions Risk	8 Jul 2021
Managing Tax Evasion under the Criminal Finances Act 2017	9 Sep 2021
Managing Sanctions risks and how to identify sanctions evasion	7 Oct 2021
Identifying and Managing Fraud	4 Nov 2021
Combatting the Financing and Proliferation of Terrorism	2 Dec 2021
The Risk Based Approach to Customer Due Diligence	6 Jan 2022
New Technology – Fintech, RegTech and Cryptocurrency (CryptoAssets)	10 Feb 2022

MODULE DESIGN

Each module is designed around its specific subject matter but will in general have the following format:

Pre-reading

Pre-reading notes are provided ahead of each workshop so that participants are well prepared for each session and to enable them to make a more informed contribution to the workshop itself.

Workshop sessions

Each 1-day workshop will consist of four 1-hour sessions, which will concentrate on structured technical learning, practical application of learning and case study analysis.

Module Assessment

A multiple-choice question assessment designed to test only subject matter that has been presented during each workshop. Each assessment contains 20 questions. A score of 70% is required to pass an assessment.

A pass in each assessment is required to achieve the AFB Diploma, with those obtaining an average score of 80% awarded a Merit and those obtaining an average score above 90% receiving a Distinction.

LEARNING OUTCOMES

The AFB Financial Crime Diploma is designed to offer learning at an advanced level to meet the needs of members who are managing financial crime compliance risks. The course is aimed at MLROs and other risk and compliance professionals as well as those who are wishing to prepare for a similar senior appointment in a regulated firm.

By the end of the course you should be able to:

- Define the role of the MLRO and Nominated Officer and the skills that are required to be successful
- Describe the international financial crime risks that must be assessed and managed by a regulated firm
- Complete a financial crime risk assessment for a regulated firm
- Define the systems and controls framework that is required to be managed to ensure that a regulated firm is not used by criminals or the financiers of terrorism
- List all of the UK and international financial crime laws, regulations and sources of best practices that are applicable to a foreign bank operating in the UK
- Provide an assessment of future trends and emerging risks for 2021 and beyond, including cryptoassets

A key aim of the course is that all delegates will be more confident and comfortable managing financial crime risks that are applicable to regulated services

