

THE AFB CEO PROGRAMME 2021

EXCLUSIVELY FOR AFB MEMBER BANK CEOS TO ENGAGE WITH MARKET FACING ISSUES

The AFB CEO programme provides strategic thought leadership aimed at informing senior bankers and facilitating access for them at the highest levels of influence. This is achieved via an exclusive and carefully curated programme of events with some of the most important banking stakeholders in the UK. All events are held under the Chatham House Rule to promote open and confidential discussions.

PRAISE FOR THE 2020 PROGRAMME FROM MEMBER BANK CEOS

"..... brilliantly organised...... thank you & your team for their stellar work in arranging it. Very much appreciated & I look forward to attending the other AFB CEO programme events through the year."

"... the time-slot was very well structured & we covered multiple topics in a depth which I thought was quite impressive."

"Big thanks to you & the team for arranging what was an enjoyable & extremely helpful meeting."

"This was a unique opportunity, only made possible via the AFB, thank you!"

"I found this rare opportunity very insightful"

".... most certainly the most informative of the webinars in which I have participated recently."

"I guess I'm stating the obvious, but that was excellent."

"Sam & Nikhil [were] very interesting & encouraging to hear the way they are thinking."

"..... exactly what I was hoping for from the CEO program. Interesting, but also important. Congratulations and thanks."

The AFB CEO programme is strictly for GMs/CEOs and no delegates will be accepted. The 2021 programme is limited to 30 participants – and requires a minimum of 15. We invite applications from all AFB members and acceptance onto the programme is at the discretion of the AFB.

For more information and to apply for your place on the CEO Programme, please email <u>fiona.mcbride@foreignbanks.org.uk</u> or call 020 7283 8300 by the end of February 2021.

Price: £2,750 + VAT

THE AFB CEO PROGRAMME 2021		
Date	Торіс	Speaker
13 April	The UK Regulatory Landscape Post Brexit & Beyond	CONFIRMED SPEAKERS Dr Sam Woods, CEO of the PRA Nikhil Rathi, CEO of the FCA
20 April	The UK Government Plan: Part I "UK-Overseas Financial Services Deals "	CONFIRMED SPEAKER Katharine Braddick, Director General, Financial Services, HM Treasury
28 April	The UK Government plan: Part II "The Government's post-Brexit vision to keep the UK as the world's pre-eminent financial centre"	CONFIRMED SPEAKER John Glen MP, Economic Secretary, to the Treasury
31 May	** SPECIAL EVENT** with the AFB's sister organizations in Germany, Switzerland, Netherlands and Italy: "How to Recover from the COVID-19 Crisis"	CONFIRMED SPEAKER Klaas Knot, President of the Dutch Central Bank with a response on behalf of UK based foreign banks from Bill Smith , Chair, AFB
2 June	The UK Economic Environment Post Brexit, Post COVID & Beyond	CONFIRMED SPEAKER Andrew Bailey, Governor of Bank of England
9 Sept	Reflections on Resilience Military Skills for Business Performance	CONFIRMED SPEAKER Squadron Leader John Peters, "In Command"
Oct	ESG: Addressing the 'S' "What Can Banks Learn from Big Pharma?"	CONFIRMED SPEAKER Katarina Ageborg, EVP, Sustainability & Chief Compliance Officer; President AstraZeneca AB
Nov	COP 26: Part I "What the COP26 Commitments will mean for Banks and the Economy"	SPEAKER TO BE CONFIRMED
24 Nov	COP 26: Part II "Understanding the Impact of Climate Reporting on Banks and Their Ratings"	CONFIRMED SPEAKER Dr Dirk Hollaender, zeb Consulting
DATES AND SESSIONS ARE SUBJECT TO CHANGES OUTSIDE OF THE AFB'S CONTROL		



Session 1: The UK Regulatory Landscape Post Brexit & Beyond Sam Woods, CEO PRA and Nikhil Rathi, CEO FCA

As the UK begins its post-Brexit future and starts to recover from the COVID-19 pandemic, Nikhil & Sam will discuss how regulation will evolve, supporting the recovery and the consumer whilst keeping a focus on diversity, innovation and climate change.

There will be an opportunity for discussion and Q&A.

Location: Virtual

Date: 13 April 2021, 13:00 - 14:30

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

Sam Woods is CEO of the PRA, a member of the Bank of England's Court of Directors, the Prudential Regulation Committee, the Financial Policy Committee, and the Board of the FCA. Sam's previous role was Executive Director of Insurance at the PRA, responsible for overseeing the monitoring and regulation of over 600 life and general insurance firms. Sam joined the FSA in 2011 and transferred to the Bank in 2013 with the integration of the PRA. Under his leadership, the PRA's tailored supervision approach and willingness to consult with its overseas peers has burnished London's credentials as a global financial services hub. The PRA has a reputation for being forward looking and for analysing the bigger picture, vitally important at a time of significant eco-political changes.

In his newly appointed role at the FCA on 1 October 2020, **Nikhil Rathi** is responsible for the authority's regulation of conduct of 59,000 financial services firms, ensuring honest and fair markets for consumers, businesses and the economy. Prior to this role, he was the CEO of the London Stock Exchange plc and before that he advised senior government ministers at the Treasury.



Session 2: The UK Government Plan Two private meetings – Jo<u>hn Glen & Katharine Braddick, HM Treasury</u>

The Government is seeking to ensure that the UK remains at the top of the world's leading international financial centres after Brexit in a post-pandemic era. This event will be delivered in 2 parts. Part A: Katharine Braddick, Director General Financial Services, HM Treasury and Part B: John Glen, Economic Secretary to the Treasury

There will be an opportunity for discussion and Q&A.

Location: Virtual

Dates: This is a two-part event: Katharine Braddick 20 April, 10:30 – 12:00. John Glen 28 April 2021, 10:00 – 11:30

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

This session includes a significant meeting with a very senior UK Government Minister and for those that are able to attend there will be a pre-meeting to prepare input.

Katharine Braddick ensures the delivery of HM Treasury's work programme to promote stability, fairness, efficiency and competitiveness in the financial markets and leads the Treasury's relationship with the PRA and FCA. This is an opportunity to hear firsthand from Katharine about UK-Overseas Trade deals in Financial Services. Katharine joined HMT in July 2014 on secondment from the Bank of England, where she was Director of Prudential Policy. Previously she worked for 10 years at the UK Financial Services Authority in a variety of roles, including Head of Department for the International Division and Head of Regulatory Strategy.

John Glen was appointed Economic Secretary to the Treasury and City Minister in January 2018. Previously, from June 2017, he was Parliamentary Under Secretary of State for the Department for Culture, Media and Sport and before that he served as Parliamentary Private Secretary to Philip Hammond from July 2016. He has been Member of Parliament for Salisbury since 2010. This is a unique opportunity to discuss regulatory, investment and fiscal topics with a senior government Minister.



Session 3: How to Recover from the COVID-19 Crisis Klaas Knot, President of the Dutch Central Bank

This SPECIAL EVENT is held in collaboration with the AFB's sister organisations in Germany, Switzerland, Netherlands and Italy. Each of the countries will respond in turn to the view presented by Klaas Knot, President of the Dutch Central Bank. The response for the UK will be given by Bill Smith, Chair of the AFB.

There will be an opportunity for discussion and Q&A.

Location: Virtual

Date: 31 May 2021, time TBC

KINDLY NOTE THAT THIS DATE IS A BANK HOLIDAY IN THE UK

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

Klaas Knot is a Dutch economist and central banker, who is the current President of the Dutch central bank De Nederlandsche Bank. In this capacity he also serves as a member of the Governing Council of the European Central Bank, and of the Board of Governors of the International Monetary Fund.

This event is being organised by the FBA, the voice of foreign banks in the Netherlands, jointly with the BdB in Germany, the AIBE in Italy, the AFBS in Switzerland and the AFB in the UK. It represents a unique opportunity to hear from a central banker in the EU, with responses from the Chairs of foreign banks associations across Europe. The response on behalf of the AFB will be given by Bill Smith, Managing Director and Head of International, BMO Capital Markets and Chair of the AFB.



Session 4: UK Economic Environment Post Brexit, Post COVID & Beyond Andrew Bailey, Governor of Bank of England

In a first-of-its-kind event for the AFB, the Governor of the Bank of England, Andrew Bailey, will discuss the UK economic environment as the UK navigates these critical times. How can the bank act to support the UK economy as it moves from recovery to growth, post-Brexit and post-COVID?

There will be an opportunity for discussion and Q&A.

Location: Virtual

Date: 2 June 2021, 14:00 - 15:30

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

Andrew Bailey is the 121st Governor of the Bank of England. He has previously worked at the Bank in a number of areas with over 3 decades of service across all policy areas of the Bank. Previous roles include Governor's private secretary, and head of the International Economic Analysis Division in Monetary Analysis.

Since the onset of the financial crisis in August 2007 and until April 2011, Andrew was responsible for the bank's special operations to resolve problems in the banking sector, and on 1 April 2013 became the chief executive of the new Prudential Regulation Authority and the first Deputy Governor of the Bank of England for Prudential Regulation. In 2016, he became CEO of the UK Financial Conduct Authority.

According to The Economist, "He is widely seen within the bank as a safe pair of hands, an experienced technocrat who knows how to manage an organisation."

His term will expire on 15 March 2028.



Session 5: Building Resilience in a World of Change Squadron Leader John Peters, "In Command"

Learn about resilience first-hand from the pilot who, on an ultralow-level daylight mission over Iraq on the first day of Operation Desert Storm in 1991, was shot down by a shoulder-launched SAM SA 14 missile. Captured and subjected to seven weeks of mental and physical torture, including mock executions, he came close to death.

There will be an opportunity for discussion and Q&A.

Location: Virtual

Date: 9 September 2021, time TBC

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

John Peters is a former fast jet fighter pilot and Tornado Instructor Pilot of the Royal Air Force and is now Director of Performance at Aston MBA Business School.

John's bruised and battered photograph was broadcast to the world in January 1991, aged just 29, after he had been shot down over Iraqi territory whilst flying a British Tornado bomber with his navigator John Nichol. That was on the first day of the First Gulf War.

It was John's disfigured image that became a potent symbol of Saddam Hussein's ruthless aggression. His dramatic and sometimes harrowing experiences will reach deep into our innermost minds, showing us how we can overcome even our worst fears and nightmares. He endured torture and interrogation testing him to the absolute limit and bringing him close to death.



Session 6: Addressing "S" in ESG - what can banks learn from Pharma? Katarina Ageborg, EVP, Sustainability AstraZeneca

We all know what ESG stands for, but how do we address the "S"? In this session, Katarina Ageborg will outline the steps that AstraZeneca has taken to meet the challenges of scrutiny over its social footprint. There are so many parallels for banks with big Pharma - both sectors had massive upticks in regulation as a result of major crises – so what can the banks learn from Pharma on matters of regulatory conduct, culture and compliance?

There will be an opportunity for discussion and Q&A.

Location: Virtual

Date: TBC in October 2021

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

Katarina Ageborg is Executive Vice-President, Sustainability and Chief Compliance Officer; AstraZeneca; and President AstraZeneca AB, Sweden. She has been a member of the Senior Executive Team (SET) since 2011. She was appointed EVP Sustainability in March 2017, and appointed to the role of President, AstraZeneca AB Sweden in August 2018.

Katarina has overall responsibility for the delivery, design and implementation of the Company's sustainability programme covering three priority areas: access to healthcare, environmental protection and ethics and transparency. She leads the Global Sustainability function, including teams focusing on Compliance and Safety, Health and Environment. In her role as President, AstraZeneca AB, Katarina has the overall legal responsibility for AZ's Swedish entity.

Prior to her current roles, Katarina led AZ's Global IP function. Before joining AstraZeneca in 1998, she ran her own law firm.



Session 7: COP26 Implications for Banks Part A Speaker TBC and separately Part B with Dr Dirk Hollaender

The Government is seeking to ensure that the UK takes a clear lead on sustainability at COP26. This event will be delivered in 2 parts. Part A: Speaker to be confirmed & Part B: Dr Dirk Hollaender, zeb

There will be an opportunity for discussion and Q&A.

Location: Virtual

Dates: This is a two-part event: Dr Dirk Hollaender 24 November 2021, 12:30 – 14:00. Part A date TBC in Nov 2021

Attendance at this event is restricted to members of the AFB CEO Programme and will be held under the Chatham House Rule.

In a separate meeting, Dirk Hollaender will examine how climatechange related risks can impact traditional banking risk types and will provide an overview of how the different rating agencies apply their ratings criteria. Dirk is a senior partner at zeb, a strategy and management consulting firm, focused solely on the financial services sector. Dirk advises board members of the top 50 European banks with regards to profitability and sustainability issues. With 20+ years of consulting experience, Dirk has delivered 100+ strategy and transformation projects with a global and a regional scope and liaises regularly with regulators and policy makers.